

UNITED STATES PATENT AND TRADEMARK OFFICE

Contrassioner for Patients, Box PCT United States Patient and Trademark Office Washington, D.C., 20231

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | TTA | Y. DOCKET NO. | |
|-------------------------------|-----------------------|--|----------------|--|
| 09/937,555 | Michael Cappello | OCR-1001.US INTERNATIONAL APPLICATION NO. | | |
| | | | | |
| | . – | PCT/US00 | PCT/US00/08519 | |
| 26850 | | I.A. FILING DATE | PRIORITY DATE | |
| MARY M. KRINSKY, Ph. D., J.D. | _ | 03/30/2000 | 03/31/1999 | |

26850 MARY M. KRINSKY, Ph. D., J.D. PATENT ATTORNEY 79 TRUMBULL STREET NEW HAVEN, CT 06511

CONFIRMATION NO. 2094
371 FORMALITIES LETTER
**OC000000007269487*

Date Mailed: 01/07/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- . U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- · Biochemical Sequence Listing
- Copy of the International Application
- Copy of the International Search Report

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application
 by the International application number and international filing date.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
 - APPLICANT MUST PROVIDE:
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600



■ For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 r 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

PAULETTE R KIDWELL

Telephone: (703) 305-3656

PART 2 - OFFICE COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 09/937,555 | PCT/US00/08519 | OCR-1001.US |